

ADVISOR SUMMIT

NEW YORK, NY | SEPTEMBER 14, 2016

Event Accepted for 4 Continuing Education Credits

CFP BOARD



Discovering Income — Exploring Opportunities

The **Advisor Summit on Closed-End Funds** (CEFs) is the event for financial advisors who want to know more about how to take advantage of the unique investment opportunities of CEFs to build income for their clients. This event will provide an in-depth look at the current market and how to use CEFs to find potential value and achieve income.

8:30 - 9:00 AM Registration

9:00 - 9:10 AM Welcome

Michael Hedstrom, Director — CEFA

Anne Kritzmire, Managing Director, Closed-End Funds & Global Structured Products — Nuveen Investments

9:10 - 10:00 AM The Role and Benefits of CEFs for Today's Investor

Moderator: **Anne Kritzmire**, Managing Director, Closed-End Funds & Global Structured Products — Nuveen Investments

Jon Diorio, Managing Director — BlackRock

Robert Bush, Jr., Senior Vice President, Director of Closed-End Fund Products — Calamos Investments

Jonathan Isaac, Vice President, Director of Product Management — Eaton Vance

10:00 - 10:50 AM Generating and Managing an Income Stream for Your Clients

Moderator: **Courtney Woodworth**, Program Anchor — Asset TV

F. Barry Nelson, CFA, Partner — Advent Capital Management

Peter Vanderlee, CFA, Managing Director, Portfolio Manager — ClearBridge Investments (Legg Mason)

Larry Holzenthaler, Investment Strategist — Symphony Asset Management, Nuveen Investments

10:50 - 11:00 AM Break

11:00 - 11:50 AM Alternative Strategies in the CEF Space

Moderator: **Elias Lanik**, Senior Closed-End Fund Analyst — BofA Merrill Lynch Global Research

Larry Antonatos, Managing Director, Portfolio Manager — Brookfield Investment Management

Jonathan Morgan, President & CEO — Canadian General Investments, Ltd.

Michael Cimini, Head of Investor Relations — Prospect Capital Corporation



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11:50 AM - 12:40 PM

Finding Value and Opportunities in CEFs

Moderator: **Mariana Bush**, CFA, Head of CEF/ETP Research — Wells Fargo Advisors
Doug Bond, Executive Vice President & Portfolio Manager — Cohen & Steers
Patrick Maldari, CFA, Senior Investment Specialist — Aberdeen Asset Management
Ed Russell, Managing Director — Tortoise Capital Advisors

12:40 - 1:30 PM
Luncheon

Keynote Address

Trends and Innovation: An Industry Perspective

Rob Kapito, President — BlackRock



Conclusion

Continuing Education Credit Certification

At the conclusion of the event, continuing education participants will receive a certificate of completion. This event has been accepted for 4 CE Credit hours by the CFP Board and by IMCA for credit towards the CIMA[®], CIMC[®] and CPWA[®] certifications.

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